



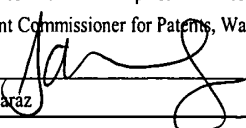
PATENT
Docket No. 514012000400
Client Reference 760/11168.175

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Date of Deposit: June 12, 2002

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Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Nahum SONENBERG et al.

Serial No.: 09/973,473

Filing Date: October 9, 2001

For: NON-HUMAN TRANSGENIC ANIMAL
WHOSE GERM CELLS AND SOMATIC
CELLS CONTAIN A KNOCKOUT
MUTATION IN DNA ENCODING 4E-
BP1

Examiner: To Be Assigned

Group Art Unit: 1614

COMMUNICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Communication accompanies the response to Notice to File Missing Parts of Nonprovisional Application. Please find enclosed authorization to charge Deposit Account No. 03-1925 the amount of \$1715, including extension fee. The Notice to File Missing Parts of Nonprovisional Application states the amount due as \$918, excluding the extension fee. We calculate the amount due as \$735, excluding the extension fee. The amount of \$735 was derived by taking into account the preliminary amendment submitted with the application filing on October 9, 2001, in which the number of claims was reduced.



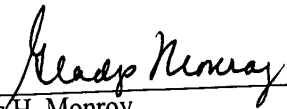
We note that our Fee Determination Record did not reflect the correct number of claims as filed.

Please take this Communication into consideration when processing the fees due.

Respectfully submitted,

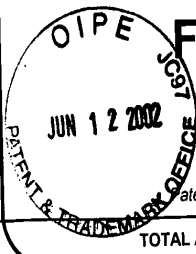
Dated: June 12, 2002

By:

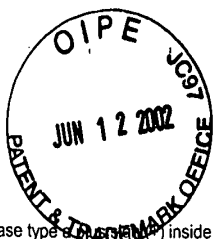

Gladys H. Monroy
Registration No. (32,430)

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5711
Facsimile: (650) 494-0792

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2002  Patent fees are subject to annual revision.		Complete if Known	
		Application Number	09/973,473
		Filing Date	October 9, 2001
		First Named Inventor	Nahum SONENBERG
		Examiner Name	To Be Assigned
Group Art Unit		1614	
TOTAL AMOUNT OF PAYMENT		(\$1715	Attorney Docket No. 514012000400

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. 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late filing fee or oath	65	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month	980	119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions of the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per properties (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$1045				
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/973,473	
	Filing Date	October 9, 2001	
	First Named Inventor	Nahum SONENBERG	
	Group Art Unit	1614	
	Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission	12	Attorney Docket No.	514012000400

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form – in duplicate	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney 2 pages, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration (2 pages)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Statement under 37 CFR 3.73(b)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Communication (2 pages)
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	Return receipt postcard
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 – Copy of Notice attached		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Gladys H. Monroy
Date	June 12, 2002

CERTIFICATE OF MAILING BY "EXPRESS MAIL"	
Express Mail Label No.: EL569251483US	Date of Deposit: June 12, 2002
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
Tamara Alcaraz	

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,473	10/09/2001	Nahum Sonenberg	514012000400

25226
MORRISON & FOERSTER LLP
755 PAGE MILL RD
PALO ALTO, CA 94304-1018

CONFIRMATION NO. 5331

FORMALITIES LETTER



OC000000007063028

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$483.
 - \$189 for 21 total claims over 20.
 - \$294 for 7 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 918.**

07/08/2002 MTEKLEMI 00000004 031952 09973473

01 FC:202 84.00 CH
02 FC:203 99.00 CH

*A copy of this notice **MUST** be returned with the reply.*

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Beth I. Bustin
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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